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1 **Rationale, Study Protocol and the Cluster Randomization Process in**
2 **a Controlled Trial Including 40 000 Women Investigating the Effects**
3 **of Mindfetalness**

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32 **Abstract**

33 **Background.** Shortening pre-hospital delay may decrease stillbirth rates and rates of babies
34 born with a compromised health. Stillbirth may be preceded by a decrease in fetal
35 movements. *Mindfetalness* has been developed as a response to the shortcomings of kick-
36 counting for the monitoring of fetal movements by the pregnant woman. We do not know if
37 practicing *Mindfetalness* may diminish pre-hospital delay. Nor do we know if practicing
38 *Mindfetalness* may increase or decrease the percentage of women seeking health care for
39 unfounded, from a medical perspective, worry for her fetus' well-being.

40 **Methods.** This article describes the rationale, study protocol and the randomization process
41 for a planned study randomly allocating 40 000 pregnant women to receive, or not receive,
42 proactive information about practicing *Mindfetalness*. The unit of randomization is 63
43 antenatal clinics in the Stockholm area. Midwives in the antenatal clinics randomized to
44 *Mindfetalness* will verbally inform about practicing *Mindfetalness*, hand out brochures
45 (printed in seven languages) and inform about a website giving information about
46 *Mindfetalness*. Routine care will continue in the control clinics. All information for the
47 analyses, including the main endpoint of an Apgar score below 7 (e.g., 0-6 with stillbirth
48 giving a score of 0), measured five minutes after birth, will be retrieved from population-
49 based registers.

50 **Results.** We have randomized 33 antenatal clinics to *Mindfetalness* and 30 to routine care. In
51 two clinics a pilot study has been performed. One of the clinics randomly allocated to inform
52 about *Mindfetalness* will not do so (but will be included in the intention-to-treat analysis). In
53 October 2016 we started to recruit women for the main study.

54 **Conclusion.** The work up to now follows the outlined time schedule. We expect to present
55 the first results concerning the effects of *Mindfetalness* during 2018.

56

57

58 **Background**

59
60 A shortened prehospital delay after the pregnant woman perceive a decrease in fetal
61 movements may decrease rates of stillbirth and rates of babies born with a compromised
62 health. At the same time the percentage of unwarranted visits, from a medical perspective, to
63 obstetric clinics due to worry for decrease fetal movements is far too high. Empowering
64 women to monitor fetal movements with a new method, *Mindfetalness*, (1) may shorten pre-
65 hospital delay after decreased fetal movements and simultaneously lower the frequency of
66 unwarranted visits from a medical perspective. We here present the rational, study protocol,
67 randomization process and ongoing activities 1 October 2016 in a study allocating women
68 randomly to receive midwife-administered information about *Mindfetalness* or routine care.

69
70 The success of modern obstetric care during the last century to bring down stillbirth rates,
71 and rates of babies born with a compromised health, rests on the window of opportunity to
72 turn objective signs of compromised fetal wellbeing to the birth of a healthy child. Inducing a
73 vaginal delivery, or performing a caesarian section in time, results in the vast majority of
74 cases in a healthy live child. However, the stillbirth rate in Sweden has been stable for three
75 decades, 4.0 per 1000 births in 2014, without showing any tendency to decrease. In Sweden
76 in 2014, 464 babies were stillborn after 22 gestational weeks, another 177 babies died within
77 27 days after birth (2). New approaches are needed to reduce the rates. Pre-hospital delay is
78 the period between the time when fetal movements decrease and the time the pregnant
79 woman seeks health-care. If pre-hospital delay is shortened, a higher percentage of the
80 children than today will be in the window of opportunity to be saved from death or
81 compromised health.

82
83 The knowledge that a stillbirth may be preceded by fetal movements gradually becoming

84 weaker and less frequent probably goes back to before historical times. Thus, as obstetric
85 care gained technology and clinical skill to diagnose compromised fetal wellbeing and induce
86 a delivery, the idea of shortening the pre-hospital delay after the occurrence of decreased
87 fetal movements arose. Authors in the 1970s searched for monitoring instruments and ended
88 up with kick-counting as the preferred method (3-4). In it, the pregnant mother times the
89 period needed to sense, e.g., 10 kick from the fetus or count fetal movement during a specific
90 time. But, a large-scaled study failed to show that kick-counting is efficient (5). The authors
91 randomly allocated 68 000 pregnant woman to kick-counting or standard care and found no
92 difference in stillbirth rates between the groups. The women were asked to seek health care at
93 an alarm count: no kicks during a day or less than 10 kicks during 10 hours on two successive
94 days. The article was published in the Lancet 1989 and after that the interest in shortening the
95 pre-hospital delay fell drastically.

96
97 After the turn of the century the literature reflects a revived interest in shortening the pre-
98 hospital delay. But, kick-counting prevail as the method for structured fetal monitoring.
99 Holm-Tveit, and co-workers (6) randomly allocated 1076 pregnant women at nine
100 Norwegian hospitals to either a modified count-to-ten method or to standard care. In the
101 intervention group two babies (0.4%) had Apgar scores below four at one minute, versus 12
102 (2.3%) in the standard-care group. The frequency of consultations for concerns related to
103 fetal wellbeing was 13.1 percent in the kick-count group and 10.7 percent in the standard-
104 care group. Study design and interpretation of the results have been hotly debated (7).
105 Nevertheless, the study has evoked a growing interest in diminishing pre-hospital delay. In
106 Scotland (8), authors plan to randomize 120 000 pregnant woman to a care package
107 concerning fetal monitoring or to standard care.

108

109 No large-scale studies have been done to gain knowledge that can be used to lessen the
110 numbers of visits of obstetrics clinic for concerns about decrease fetal movements that turn
111 out to be unfounded from a medical perspective. Setting alarm cut-offs for kick-counting may
112 rather prolong than shorten the pre-hospital delay; instead of trusting her intuition the
113 pregnant woman feels obliged to follow decision rules given by others. Another explanation
114 for kick-counting's lack of efficiency may be its insensitivity to the combined fetal
115 movements. A fetus stretching, or changing position, certainly moves but the sensation may
116 not be documented as a "kick". Decreased fetal movements induce denial; to shorten pre-
117 hospital delay the pregnant mother must monitor her fetus daily and act directly when the
118 fetus does not move as usually. A third explanation for the inefficiency of kick-counting,
119 possibly the most important one, may be that it does not help the mother to get passed the
120 denial, to understand that the health of the fetus may be compromised and to act promptly. To
121 overcome the problems with kick-counting, we have introduced the concept of *Mindfetalness*
122 (1). We know many women prefer practicing *Mindfetalness* before kick-counting (9), but we
123 do not know if it can decrease the number of unwarranted (from a medical perspective)
124 unscheduled health-care visits or increase the rates of babies born healthy by decreasing pre-
125 hospital delay.

126

127 Setting aside 15 minutes per day while the fetus is awake, and by documenting the
128 experience, the pregnant woman gets to know the movement's pattern of her fetus. Practicing
129 *Mindfetalness* may decrease the pre-hospital delay. Moreover, when the woman has learned
130 her fetus' movement pattern, she may be more secure in her diagnosis of fetal wellbeing,
131 preventing unnecessary (from a medical perspective) unscheduled visits to obstetric clinics.
132 In preparatory studies we have found a high compliance for practicing *Mindfetalness*. The
133 planned main study uses logistical and scientific findings from the following studies

134 performed by us.

135

136 *Documentation of pre-hospital delay.* We collected data by a web-based questionnaire
137 accessible on the homepage of Swedish National Infant Foundation from 27 March 2008 to 1
138 April 2010 (10). Six hundred and fourteen women provided data and fulfilled the inclusion
139 criteria, including having a stillbirth after the 22nd gestational week. In all, 392 (64%) of the
140 women had had a premonition that their unborn baby might be unwell. Remarkable was that
141 88 (22%) decided to wait until their next routine check-up to seek health care. Clearly, a
142 significant pre-hospital delay exists (10).

143

144 *Perception of fetal movements.* We asked 40 women in gestational weeks 37 to 41 “Can you
145 describe how your baby has moved this week?”(11). By using content analysis we found six
146 categories “Strong and powerful”, “Large”, “Slow”, “Stretching”, “From side to side” and
147 “Startled” movements. Within these categories, women’s wording varied considerably. So,
148 we concluded that trying to capture the frequency and strength of movements in each
149 category would require extensive instruments. Moreover, since the wording varies between
150 women, the measurement errors (amount of misclassification) would be large. Also, any
151 reduction, for example to “kicks”, implies that a large part of the movements (e.g., stretching
152 and moving from side to side) not would be documented. Just counting kicks implies a huge
153 loss of information and we must find new means for fetal monitoring (11). The results in this
154 qualitative study have been validated in a study with 400 women in full-term pregnancy (12).

155

156 *Awareness of decreased fetal movements.* We asked 26 women who have experienced
157 stillbirth the process of realizing this dreadful truth (13). Several of the women, avoidance
158 (stopping monitoring) and denial (not acting of the monitoring results) was obvious during

159 the process. Thus, to shorten pre-hospital delay, any monitoring schedule must overcome
160 avoidance and denial.

161

162 *Acceptance of Mindfetalness.* The count-to-ten (kick-counting) method many consider as the
163 standard for fetal monitoring. We recruited 40 healthy women with an uncomplicated full-
164 term pregnancy (9). In a crossover trial, the woman practiced *Mindfetalness* as well as the
165 count-to-ten method. Twenty started with one of the methods, 20 with the other, giving 80
166 assessments observed by a midwife. Twenty (50%) of the women preferred practicing
167 *Mindfetalness* before the count-to-ten method, five women (12.5%) preferred the count-to-ten
168 method and 14 (35%) had no preference for one method over the other. One woman (2.5 %)
169 did not find any of the two methods suitable for fetal monitoring. Together with the
170 documented insensitivity of kick-counting, we choose *Mindfetalness* for the planned large-
171 scaled study.

172

173 *Misinterpretation of fetal movements.* In further analyses of information from a web-based
174 questionnaire, we found that women in late pregnancy may misinterpret uterine contractions
175 as fetal movements (14). That is, after the fetus had died, the women believed her unborn
176 baby was moving. This observation further strengthened our belief that the woman must learn
177 the unique behavior of her fetus in terms of nature in addition to frequency and strength of
178 movements.

179

180 *Seeking health care for decrease fetal movements.* In a completed data-collection we quantify
181 the percentage of woman seeking health care for decrease fetal movements. All seven
182 obstetric clinics (Södersjukhuset, Karolinska Universitetssjukhuset Solna and Huddinge,
183 Danderyds sjukhus, BB Stockholm, BB Sophia and Södertälje Sjukhus) in Stockholm

184 participated. We attempted to collect information from all women coming to an obstetric
185 clinic during 2014 declaring a worry for decreased fetal movements. A completed
186 questionnaire has been received from 3555 women, analyzes of data as well as estimating the
187 prevalence of women seeking care for decrease fetal movements are on-going.

188

189 **Purpose**

190 We do not know if practicing *Mindfetalness* can diminish the pre-hospital delay to such an
191 extent that the well-being of the fetus improves. And, we do not know if practicing
192 *Mindfetalness* can diminish, or may increase, unfounded worry leading to unnecessary
193 consultations from a medical perspective. Therefore we will address the following two
194 hypotheses:

195

196 *Hypothesis 1: "By taking a proactive approach to get the pregnant woman to practice*
197 *Mindfetalness the percentage of babies stillborn or born with signs of hypoxia can be*
198 *reduced"*. In short, a total of 40 000 women will be randomly allocated either to midwives
199 using a proactive approach to *Mindfetalness* or to midwives practicing standard care. An
200 Apgar score less than seven measured five minutes after birth, as retrieved from the Swedish
201 Medical Birth Register, is the primary endpoint.

202

203 *Hypothesis 2 "By taking a proactive approach leading pregnant women to practice*
204 *Mindfetalness the percentage of women seeking health care for decreased fetal movements*
205 *can be reduced."* In the data collection described above, the rate of visiting an obstetric clinic
206 because of decrease fetal movements will be retrieved in the medical record system Obstetrix
207 and studied as the secondary endpoint in the data collection addressed above.

208

209 **Methods**

210 *Overview.* During the recruitment period 1 October 2016 to 31 January 2018 about 40 000
211 pregnant women in Stockholm reaching pregnancy week 25 will be randomly allocated to
212 receive, or not receive, guidance in practicing *Mindfetalness* by a proactive midwife. The
213 level of randomization will be 63 antenatal clinics in the Stockholm area. The proactivity will
214 be supervised by the study secretariat. Possible effect-modifying factors, possible
215 confounding factors and outcomes will be retrieved by data linkage to the Swedish Medical
216 Birth Register, the Pregnancy Register, the medical record system Obstetrix, the Swedish
217 Educational Register, the Prescribed Drug Register and the National Patient Register. The
218 primary analysis for the primary and secondary end points will be done according to the
219 intention-to-treat (intention-to-receive-midwife-administered-information-about-
220 *Mindfetalness*) principle and will include all pregnant women registered at one of the 63
221 randomized antenatal clinics during the recruitment period. That is, the primary analyses will
222 not take into account whether or not the pregnant women have practiced *Mindfetalness*,
223 misclassification will be accounted for in the interpretation of the results. We have reported
224 the study to ClinicalTrials.gov (identification number NCT02865759) and have received
225 ethical approval (Dnr. 2015/2105.31/1) from the appropriate Swedish state authorities.

226

227 *Intervention.* During one antenatal visit around gestational week 25, the pregnant woman will
228 be informed about the possibility of practicing *Mindfetalness*. The midwives will hand out a
229 brochure (printed in seven languages; Swedish, English, Spanish, Arabic, Farsi, Somali and
230 Sorani) in which the women are asked to spend 15 minutes every day (from gestational week
231 28) to get to know the fetal-movement pattern. These 15 minutes must take place when the
232 fetus is awake; the recommendation is that the woman is lying on her left side when she
233 observes the movements. Moreover, she is asked to describe something about the nature,

234 frequency or strength of the fetal movements in a diary in the brochure (for personal use).
235 The woman will be informed about a website with the same information as in the brochure
236 and can use these media to document her observations if she prefers so. If the woman
237 experiences decreased frequency of fetal movements or weaker movements she is instructed
238 to seek health-care without unnecessary delay. The key in practicing *Mindfetalness* is that the
239 pregnant women does it every day in the same way, that she learns to trust her intuition
240 concerning the fetus's wellbeing and that she acts promptly when she feels something may be
241 wrong.

242

243 Midwives in each antenatal clinic randomized to *Mindfetalness* and accepting to participate
244 will be given a lecture about fetal monitoring and how to inform about *Mindfetalness*. The
245 lecture will be repeated after needs. A midwife from the study secretariat will regularly visit
246 each clinic in the proactivity group and discuss the experiences with being proactive
247 concerning *Mindfetalness*. The midwife will also see to it that all clinics have a sufficient
248 supply of the brochures which will be handed out to the women. A website will open that has
249 all the information. In the clinics randomized to routine care no activities will take place and
250 routine care will continue.

251

252 *The randomization process.* The randomization of the 63 antenatal clinics registered in 2014
253 in the Stockholm area were done at Sophiahemmet University during a seminar with in total
254 eight researchers and doctoral students witnessing the randomization. The seminar was held
255 18 April in 2016 after the pilot study had started. We received from the
256 (samordningsbarnmorskan) in the Stockholm area a list of all antenatal clinics in the region
257 (n=73) specified with the number of pregnant women listed in 2014. Excluded from the
258 randomization were four antenatal clinics for special cares were women with pregnancy

259 complication were listed (in total 228 women). Further, excluded from the randomization
260 were three small clinics with less than 50 women listed in 2014 (in total 85 women). Based
261 on facts on the number of women listed at each antenatal clinic we sorted the clinics in large
262 (more than 1000 women listed), medium (less than 1000 but more than 500 women listed)
263 and small clinics (less than 500 but more than 50 women listed). The randomization was
264 performed in clusters with the same amount of number of women listed in 2014 e.g. large,
265 medium and small clinics. To increase efficacy we further made blocks according to
266 sociodemographic factors; we classified all small and medium-sized clinics due to areas
267 known to be in high income areas and non-high income areas. All four large clinics were
268 classified as being in high-income areas. Half of the antenatal clinics in each block were
269 randomized to be proactive in practicing *Mindfetalness*. The randomization took place before
270 we contact the clinics. Three of the clinics randomized to routine care merged after the
271 randomization to one medium-sized clinic. One medium-sized clinic merged after the
272 randomization to a medium-sized clinic randomized to the intervention; we allocated the
273 collapsed large-sized clinic to *Mindfetalness* (resulting in five clinics). Thus, the
274 randomization resulted in 33 clinics randomized to intervention (with in total 15 551 women
275 listed in 2014) and 30 clinics to controls (with in total 14 960 women listed in 2014). A list of
276 the included antenatal clinics and if they have been allocated to *Mindfetalness* or routine care
277 has been sent to Ethical Review Board Stockholm.

278

279 *Population and observation period.* Strictly speaking, the study population consists of all
280 fetuses in Stockholm reaching 25 gestational weeks during the recruitment period 1 October
281 2016 to 31 January 2018. To ClicialTrials.Gov we report as the population all of the about 40
282 000 pregnant women in Stockholm reaching pregnancy week 25 1 October 2016 to 31
283 January 2018 having a Swedish personal identity number and attending one of 63 specified

284 antenatal clinics. In practice, we will retrieve from the registers information on all women
285 having reached gestational week 25, as registered in the Swedish Medical Birth Register,
286 sometime between 1 October 2016 and 31 January 2018. For the primary endpoint, we will
287 observe the registered women's babies five minutes after birth. For the secondary endpoint,
288 we will register all visits to an obstetric clinic due to worry about a decrease in fetal
289 movements during the entire pregnancy for all registered women.

290

291 *Primary endpoint.* Our primary endpoint is having an Apgar score below seven (five minutes
292 after birth). In Sweden, Apgar score is assessed by a midwife, at one, five and ten minutes
293 after birth. It comprises five components scored as zero, one or two, giving a score from zero
294 (stillbirth) to 10. A low Apgar score may indicate a previous deficit of nutrients or oxygen.
295 The Swedish Medical Birth Register contains information on the assessed Apgar score.

296

297 *Secondary endpoint.* The secondary will be the number of visits to an obstetric clinic due to
298 worry about a decrease in fetal movements. The information can be retrieved from Obstetrix,
299 covering all obstetric clinics in Stockholm.

300

301 *Tertiary endpoints.* We will have three additional endpoints. One will be an Apgar score
302 below four at five minutes, strongly associated with a compromised health. The other will be
303 stillbirth or a newborn being transferred to a neonatal clinic. A third endpoint will be stillbirth
304 or death within 27 days after birth.

305

306 *Possible effect-modifying factors.* Educational level may modify the effects of *Mindfetalness*,
307 if any. Two different mechanisms may be hypothesized; either the well-educated woman
308 practices *Mindfetalness* more effectively than other. Or, as an information-seeker, and having

309 a large social network, she has no need for the extra empowerment for fetal monitoring.
310 Other factors that will be investigated are age, body mass index, parity, country of birth and
311 civil status. Since we have no prior knowledge, the search for effect-modifying factors is
312 explorative.

313

314 *Main statistical analyses.* For each endpoint, we will form a ratio of the prevalence of women
315 with the endpoint. We will compare all pregnant women enrolled at antenatal clinics
316 randomly allocated to proactivity with all pregnant women enrolled in antenatal clinics
317 randomly allocated as controls; that is, we use the intention-to-treat principle. This implies
318 that women listed in ante-natal clinics randomized to *Mindfetalness* will be analyzed as
319 “exposed to Mindfetalness” also when the clinic (or specific midwives in the clinic) choose
320 not to participate. By a log-binomial regression model, the prevalence ratio (“relative risk”)
321 will be adjusted for possible confounding factors. The regression models will also provide us
322 with 95 percent confidence intervals. Apart from a complete-case analysis, we will use
323 imputation by means of Multiple Chained Equations. We will select a group of possible
324 confounding factors, and use available data for these to produce 50 imputed data sets. The
325 prevalence ratio, adjusted for possible confounding factors, will be the mean achieved from
326 these imputed data sets. Some possible confounding factors, such as country of birth, will be
327 handled by restriction. If a main effect is detected, we primarily will study possible effect-
328 modification by examining the prevalence ratios (adjusted for possible confounding factors)
329 in sub-groups and secondarily we will test for interaction by means of log-binomial
330 regression. That analysis path follows that in an article of Steineck and coworkers (15).

331

332 **Discussion**

333 *Aspects on validity, overview.* The study design allows us to be able to address two clinically

334 significant hypotheses in a cost-effective way. In the design, we have incorporated as much
335 as possible of the means utilized in a randomized trial. To be able to carry through the study
336 in real life, we cannot blind the midwife or the pregnant woman for the practice of
337 *Mindfetalness*. We will understand the problems arising due to this and other fallacies with
338 the help of modern epidemiological theory (16). We are well aware that the real effects, if
339 present, are captured by much diluted effect measures. The dilution is balanced by the large
340 sample size, a design inspired by Richard Peto's suggestion of "A large simple trial" (17).
341 Also, for practical and cost-effectiveness reasons, we need to organize the level of
342 randomization at the unit of the antenatal care clinics; residual confounding after
343 randomization thus is a crucial validity issue. However, our setting with a plethora of
344 register-based data available at a low cost in differed register that can be linked by the
345 personal identity numbers gives us information on a large part of the possible confounding
346 factors. Each resident in Sweden has a unique personal identity number.

347

348 *Confounding*. Since the unit of randomization will be the antenatal clinics (cluster
349 randomization), residual confounding not taken care of by the randomization (cluster effects)
350 is a major validity issue. Through the Swedish Medical Birth Register, Pregnancy Register,
351 Obstetrix, the Swedish Educational Register, the Prescribed Drug Register and the National
352 Patient Register we have information on, by and large, all important possible confounders.
353 They include educational level, age, parity, Body Mass Index, country of birth, pre-
354 pregnancy diabetes mellitus, certain other intercurrent diseases, previous stillbirth,
355 gestational-induced diabetes mellitus and preeclampsia. Probably the causes of stillbirth have
356 a considerable overlap with causes of a compromised health at birth. Consequently we are
357 dealing with an outcome that is well studied. This in turn implies that we have knowledge
358 about most of the causal factors that may confound the effect measures we calculate for the

359 primary endpoint. Apart from producing adjusted effect measures by means of log-binomial
360 regression (or if necessary due to lack of convergence, logistic regression giving estimates of
361 the odds ratio) we will also examine the difference between the adjusted and non-adjusted
362 effect measure (estimated prevalence ratio). This difference, together with subject-matter
363 knowledge, gives an indication about the amount of residual confounding.

364

365 *Attrition (selection-induced problems).* We expect few personal identity numbers to be
366 erroneous in such a way that they hinder data linkage between group (proactivity versus no
367 proactivity) and outcome. Attrition is a non-issue for the validity of the effect measures.

368

369 *Misclassification.* Assessment of Apgar score is typically done by the midwife assisting the
370 birth. We have no reason to believe that this assessment will be affected by the woman
371 having practiced, or not having practiced, *Mindfetalness*. Likewise, we consider reporting the
372 Apgar score to the Medical Birth Register as “blinded”. Thus, we believe differential
373 misclassification of outcome to be a non-issue for the validity of the effect measures. The
374 trial will resemble a randomized trial with “blinded” assessment of outcome.

375

376 The amount of non-differential misclassification, however, will be large. Concerning
377 “randomly allocated to *Mindfetalness*,” the amount of non-differential misclassification sums
378 up all origins to the fact that a certain part of the pregnant women randomly allocated to
379 *Mindfetalness* will not practice it (or not do it accurately), as well as the fact that certain
380 women not randomly allocated to *Mindfetalness* will practice it accurately. We thus expect
381 that the effect measures will be deviated considerably towards 1.0. One of the 33 clinics
382 randomized to *Mindfetalness* have chosen not to participate with any midwife. Some
383 midwives in participating antenatal clinics will forget, or deny, being proactive. Some

384 midwives will not be effective or give erroneous instructions. Some pregnant women will not
385 accept the idea of monitoring fetal movements by practicing *Mindfetalness*. Others will not
386 practice *Mindfetalness* as instructed, for example by not doing it every day. Others will be
387 affected by avoidance or denial and not contact health-care professionals, as instructed, when
388 they sense the fetus does not behave as during the previous week. In addition we will
389 probably have some contamination, an additional source of non-differential misclassification.
390 *Mindfetalness* may be viewed as a new beneficial technology by some midwives or pregnant
391 women assigned to routine care. We cannot hinder information spread but will of course be
392 clear in the message that *Mindfetalness* needs to be examined with scientific rigor before any
393 statements of efficacy in terms of saving babies lives can be made. As stated by the Medical
394 Research Council, as any complex intervention that is investigated proactivity towards
395 *Mindfetalness* may change and be more effective over time. In our case, during the
396 recruitment period the effectiveness may change over time due to a learning curve among the
397 midwives in teaching *Mindfetalness*. What we measure is a mean effect, and time of
398 enrollment can be studied as an effect-modifying factor.

399

400 *Statistical power.* To calculate the study power for the primary analysis of the primary
401 endpoint we can use the fact that in 2013, 28 999 children were born in Stockholm of whom
402 304 had an Apgar score of 1 to 6 and 102 were stillborn (Apgar score 0). (Another 50
403 newborn children died within 27 days.) We thus expect 1.4 percent (406 of 28 999) of the
404 newborn in the control arm to have an Apgar score of 0 to 6. Based on these figures we have
405 calculated a need to randomize close to 38 655 pregnant women which in turn is expected to
406 occur within a 16-month period. With a P-value of 0.05 (one-sided test), we have 54 percent
407 power to detect a decrease of at least 0.2 percent (from 1.4% to 1.2%), 84 percent of 0.3
408 percent and 98 percent for 0.4 percent (from 1.4% to 1.0%). For the secondary endpoint

409 (visits for worry of fetal wellbeing), with a P-value of 0.05 (two-sided test, we do not know if
410 the percentage of visits will increase or decrease), we have 87 percent power to detect
411 decrease from 12 percent to 11 percent and 84 percent power to detect an increase from 12
412 percent to 13 percent.

413

414 *Ethical considerations.* Our major ethical concern is if practicing *Mindfetalness*, with the
415 accompanied instruction to react if fetal movements change, induces unnecessary health-care
416 consumption with invasive or non-invasive investigations. Available data from our
417 preparatory studies tell the opposite (as hypothesis 2 states). Nevertheless, the pilot study and
418 the run-in period in the antenatal clinics randomized to proactivity may give signals of such
419 an effect; if so, we have to reconsider the design of the study. We know kick-counting seems
420 to give a slight increase in the number of unnecessary (from a medical perspective)
421 unscheduled visits to an obstetric clinic (5). We need a gain in scientific knowledge to
422 counteract the loss of time for midwives and pregnant woman in communicating and
423 practicing *Mindfetalness*. We believe that such a gain will occur. The key for the ethical
424 balance is the judgement whether or not the large scale of the study will outweigh the dilution
425 of the effect measures. Ethical approval has been achieved (Dnr. 2015/2105.31/1).

426

427 *Time plan.* During the spring 2016 we have performed a pilot study. We have had a run-in
428 period for the randomized study 1 to 30 September. The recruitment period for main data
429 collection will be 1 October 2016 to 31 January 2018. The main publications will thus be
430 submitted during 2018. During the fall of 2016 we plan to submit results from the pilot study.

431 ***On-going activities September 2016.***

432 We have randomized 33 antenatal clinics in Stockholm to the intervention (receiving
433 information about *Mindfetalness*) and 30 to routine care (Table 1). The randomization was
434 performed in blocks according to varying yearly volumes of pregnant women and socio-

435 economic residential area. Three small clinics, with a total of 85 women registered in 2015,
436 were not randomized. Another four clinics, receiving referrals of women with need for
437 specialized care, were not randomized either. The recruitment (that is, the register-based
438 analysis) is restricted to the 63 randomized clinics. In a pilot study in two antenatal clinics,
439 the intervention has been tested among 105 women. By 30 September 2016 a run-in period
440 has been ongoing in 22 of 33 clinics randomized to *Mindfetalness*. Of the 33 clinics randomly
441 allocated to *Mindfetalness* will not participate. The recruitment period will start, as planned, 1
442 October 2016.

443

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Table 1. Antenatal clinics in Stockholm allocated to Mindfetalness or routine care

| | Number of antenatal Clinics | Mindfetalness | Routine care |
|--|------------------------------------|----------------------|---------------------|
| Small-sized clinic, less than 500 pregnant women listed in 2014 | 41 | 21 | 20 |
| Medium-sized clinic, 500 to 1000 pregnant women listed in 2014 | 17 | 9 | 8 |
| Large-sized clinic, more than 1000 pregnant women listed in 2014 | 5 | 3 | 2 |
| Antenatal clinics in high-income area | 25 | 12 | 13 |
| Antenatal clinics in a non-high income area | 38 | 21 | 17 |
| Total number of clinics randomized in the study | 63 | 33 | 30 |
| Women listed in 2014 in the 63, 33 and 30 clinics, respectively | 30 511 | 15 551 | 14 960 |

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